



## WEAKLY SUPERCYCLIC POWER BOUNDED OPERATORS OF CLASS $C_1$ .

CARLOS S. KUBRUSLY

Mathematics Institute, Federal University of Rio de Janeiro, Brazil  
(E-mail: carloskubrusly@gmail.com)

and

BHAGWATI PRASHAD DUGGAL

Faculty of Sciences and Mathematics, University of Niš, Serbia  
(E-mail: bpduggal@yahoo.co.uk)

**Abstract.** There is no supercyclic power bounded operator of class  $C_1$ . There exist, however, weakly l-sequentially supercyclic unitary operators. We show that if  $T$  is a weakly l-sequentially supercyclic power bounded operator of class  $C_1$ , then it has an extension  $\hat{T}$  which is a weakly l-sequentially supercyclic singular-continuous unitary (and  $\hat{T}$  has a Rajchman scalar spectral measure whenever  $T$  is weakly stable). The above result implies  $\sigma_P(T) = \sigma_P(T^*) = \emptyset$ , and also that if a weakly l-sequentially supercyclic operator is similar to an isometry, then it is similar to a unitary operator.

# 1 Introduction

The motivation for the present paper is synthesized in the following chain of results and questions. (Some of these hold in a normed space as will become clear from the text but all of them certainly hold for Hilbert-space operators — notation and terminology will be described in the next section.)

(A) *There is no supercyclic isometry* (on a complex Banach space)

[1, proof of Theorem 2.1] (also [21, Lemma 4.1]).

(B) *A power bounded operator of class  $C_1$  is not supercyclic*

[1, Theorem 2.1] (recall: isometries are  $C_1$ -contractions).

(C) *A supercyclic power bounded operator is strongly stable.*

This is a (nontrivial) consequence of (B) proved in [1, Theorem 2.2] (see extension in [14, Section 7]). Such a result suggests the following weak counterpart. Question:

(D) *is a weakly  $l$ -sequentially supercyclic power bounded operator weakly stable?*

The question was raised in [21] and remains unanswered. We will show here that

(E) *if  $T$  is a weakly  $l$ -sequentially supercyclic power bounded of class  $C_1$ , then*

$$\sigma_P(T) = \sigma_P(T^*) = \emptyset.$$

Another related open question:

(F) *is a weakly  $l$ -sequentially supercyclic power bounded operator of class  $C_1$  similar to a unitary operator?*

In fact (see [3, Example 3.6], [3, pp.10,12], [29, Proposition 1.1, Theorem 1.2]),

(G) *there exist weakly  $l$ -sequentially supercyclic unitary operators.*

Moreover [19, Theorem 4.2],

(H) *every weakly  $l$ -sequentially supercyclic unitary operator is singular-continuous,*

and (see, e.g., [19, Propositions 3.2 and 3.3]),

(I) *there are weakly stable and also weakly unstable singular-continuous unitary operators.*

Such a chain of statements and questions helps to assemble a string of arguments to approach the main results of the present paper, which are described in the next paragraph. Question (F) is linked to a well-known result: *A weakly  $l$ -sequentially supercyclic hyponormal operator* (in particular, *a weakly  $l$ -sequentially supercyclic isometry*) *is a multiple of a unitary operator* (and so *it is similar to a unitary operator*) [3, Theorem 3.4], which has been extended beyond hyponormal operators in [8, Corollary 3.1], [9, Theorem 2.7]. This complements the result which says: *no hyponormal operator* (in particular, *no isometry*)

is *supercyclic* [6, Theorem 3.1] (for the particular case, [1, proof of Theorem 2.1], [21, Lemma 4.1]). Question (F) is linked to a classical result as well: *a Hilbert-space operator is an invertible power bounded with a power bounded inverse if and only if it is similar to a unitary operator* [31].

Power bounded operators of class  $C_1$ , together with some notion of cyclicity have played a significant role in operator theory (for instance, in connection with the invariant subspace problem — see, e.g., [11, 17]). The original contribution to linear dynamics in this paper is the characterization of weak  $l$ -sequential supercyclicity for power bounded operators of class  $C_1$ , as a nontrivial extension of (B) from [1, Theorem 2.1]. The main results along this line are presented in Theorems 3.1 and 3.2, leading to the relevant point spectra identity stated in item (E) above, which is proved in Corollary 4.1. Corollary 4.2 shows that *a weakly  $l$ -sequentially supercyclic operator similar to an isometry is indeed similar to a unitary operator*.

## 2 Notation and Terminology

Throughout this paper  $\mathcal{X}$  stands for a complex normed space (the special cases of inner product, Banach, and Hilbert spaces are discussed accordingly), and  $\mathcal{B}[\mathcal{X}]$  stands for the normed algebra of all operators on  $\mathcal{X}$  (i.e., of all bounded linear transformations of  $\mathcal{X}$  into itself). The linear manifold  $\mathcal{R}(T) = T(\mathcal{X})$  of  $\mathcal{X}$  is the range of  $T$  in  $\mathcal{B}[\mathcal{X}]$ . Let  $\mathcal{X}^* = \mathcal{B}[\mathcal{X}, \mathbb{C}]$  be the dual of  $\mathcal{X}$  and let  $T^*$  in  $\mathcal{B}[\mathcal{X}^*]$  be the normed-space adjoint of  $T$  (same notation for the Hilbert-space adjoint of operators on a Hilbert space where the concepts of dual and adjoint are shaped by the Riesz Representation Theorem). An operator  $T \in \mathcal{B}[\mathcal{X}]$  is power bounded if  $\sup_n \|T^n\| < \infty$  (i.e., if  $\sup_n \|T^n x\| < \infty$  for every  $x \in \mathcal{X}$  by the Banach–Steinhaus Theorem if  $\mathcal{X}$  is Banach). An operator  $T$  is strongly or weakly stable if the  $\mathcal{X}$ -valued power sequence  $\{T^n x\}$  converges strongly or weakly to zero for every  $x \in \mathcal{X}$  (i.e., if  $T^n x \rightarrow 0$  which means  $\|T^n x\| \rightarrow 0$ , or  $T^n x \xrightarrow{w} 0$  which means  $f(T^n x) \rightarrow 0$  for every  $f \in \mathcal{X}^*$ , for every  $x \in \mathcal{X}$ , respectively). It is uniformly stable if the  $\mathcal{B}[\mathcal{X}]$ -valued sequence  $\{T^n\}$  converges (in the uniform operator topology) to the null operator. Thus uniform stability implies strong stability, which implies weak stability, which in turn implies power boundedness. An operator  $T$  is of class  $C_0$ , if it is strongly stable, and of class  $C_{00}$  if its adjoint  $T^*$  is strongly stable. It is of class  $C_1$ , if  $T^n x \not\rightarrow 0$  for every nonzero  $x \in \mathcal{X}$ , and of class  $C_{01}$  if  $T^{*n} f \not\rightarrow 0$  for every nonzero  $f \in \mathcal{X}^*$  (or  $T^{*n} x \not\rightarrow 0$  for every nonzero  $x \in \mathcal{X}$  if  $\mathcal{X}$  is Hilbert). All combinations are possible leading to classes  $C_{00}$ ,  $C_{01}$ ,  $C_{10}$ ,  $C_{11}$ .

Let the orbit of a vector  $y \in \mathcal{X}$  under an operator  $T \in \mathcal{B}[\mathcal{X}]$  be the set

$$\mathcal{O}_T(y) = \{T^n y \in \mathcal{X} : n \geq 0\}.$$

The orbit of the one-dimensional space spanned by  $y$ ,

$$\mathcal{O}_T(\text{span}\{y\}) = \{\alpha T^n y \in \mathcal{X} : \alpha \in \mathbb{C}, n \geq 0\},$$

is referred to as the projective orbit of a vector  $y \in \mathcal{X}$  under an operator  $T \in \mathcal{B}[\mathcal{X}]$ . An operator  $T$  is *hypercyclic* if the orbit of some vector  $y \in \mathcal{X}$  is dense in  $\mathcal{X}$ , that is

$\mathcal{O}_T(y)^- = \mathcal{X}$  where the upper bar  $-$  stands for closure in the norm topology. It is *cyclic* if  $\text{span } \mathcal{O}_T(y)^- = \mathcal{X}$ : the linear span of the orbit of some  $y$  is dense in  $\mathcal{X}$ . A nonzero vector  $y \in \mathcal{X}$  is a *supercyclic vector* for an operator  $T \in \mathcal{B}[\mathcal{X}]$  if the projective orbit of  $y$  is dense in  $\mathcal{X}$  (in the norm topology), that is if

$$\mathcal{O}_T(\text{span}\{y\})^- = \mathcal{X}.$$

Thus a nonzero  $y \in \mathcal{X}$  is a supercyclic vector for  $T$  if and only if for every  $x \in \mathcal{X}$  there exists a sequence of nonzero complex numbers  $\{\alpha_i\}_{i \geq 0}$  (which depends on  $x$  and  $y$ ) such that for some subsequence  $\{T^{n_i}\}_{i \geq 0}$  of  $\{T^n\}_{n \geq 0}$

$$\alpha_i T^{n_i} y \rightarrow x \quad (\text{i.e., } \|\alpha_i T^{n_i} y - x\| \rightarrow 0).$$

If  $T \in \mathcal{B}[\mathcal{X}]$  has a supercyclic vector, then it is a *supercyclic operator*. The weak counterpart of the above convergence criterion reads as follows. A nonzero vector  $y \in \mathcal{X}$  is a *weakly l-sequentially supercyclic vector* for an operator  $T \in \mathcal{B}[\mathcal{X}]$  if for every  $x \in \mathcal{X}$  there exists a sequence of nonzero complex numbers  $\{\alpha_i\}_{i \geq 0}$  such that

$$\alpha_i T^{n_i} y \xrightarrow{w} x \quad (\text{i.e., } f(\alpha_i T^{n_i} y - x) \rightarrow 0 \text{ for every } f \in \mathcal{X}^*)$$

for some subsequence  $\{T^{n_i}\}_{i \geq 0}$  of  $\{T^n\}_{n \geq 0}$ . An operator  $T$  in  $\mathcal{B}[\mathcal{X}]$  is a *weakly l-sequentially supercyclic operator* if it has a weakly l-sequentially supercyclic vector. It is *weakly supercyclic* if there is a vector  $y \in \mathcal{X}$  (called a *weak supercyclic vector*) for which the projective orbit  $\mathcal{O}_T(\text{span}\{y\})$  is dense in  $\mathcal{X}$  in the weak topology. These are related as follows (and the converses fail — [29, pp.38,39], [4, pp.259,260]):

$$\text{SUPERCYCLIC} \implies \text{WEAKLY L-SEQUENTIALLY SUPERCYCLIC} \implies \text{WEAKLY SUPERCYCLIC}.$$

Any form of cyclicity implies the operator  $T$  acts on a separable space, and so separability for  $\mathcal{X}$  is a consequence of any form of cyclicity. Several forms of weak supercyclicity, including weak l-sequential supercyclicity, have recently been examined in [27, 28, 3, 25, 29, 9, 8, 19, 21, 22]. The notion of weak l-sequential supercyclicity was introduced explicitly in [5] and implicitly in [3], and investigated in [29] where a terminology similar to the one adopted here was introduced (we use the letter “l” for “limit” instead of the numeral “1” used in [29] — there are reasons for both terminologies).

**Remark 2.1.** If a vector  $y$  in a normed space  $\mathcal{X}$  is supercyclic, or weakly l-sequentially supercyclic, or weakly supercyclic for  $T$  on  $\mathcal{X}$ , then so is any vector in  $\mathcal{O}_T(\text{span}\{y\})$  [20, Lemma 5.1], and so is in particular the vector  $Ty$ . Moreover,

$$\mathcal{O}_T(\text{span}\{Ty\}) = \{\alpha T^n y \in \mathcal{X} : \alpha \in \mathbb{C}, n \geq 1\} \subseteq \mathcal{R}(T) \subseteq \mathcal{X}.$$

Thus if  $y$  is weakly supercyclic for  $T$  (in particular, if it is l-sequentially supercyclic, or simply supercyclic) then its range  $\mathcal{R}(T)$  is weakly dense in  $\mathcal{X}$ , and so it is dense in the norm topology since  $\mathcal{R}(T)$  is convex in  $\mathcal{X}$  (see, e.g., [24, Theorem 2.5.16]). (Note: The above argument gives still another the proof for [22, Lemma 4.1].)

### 3 Weak l-Sequential Supercyclicity and Power Boundedness

Banach limit is a standard tool in functional analysis, whose existence was established by Banach himself [2, p.21] as a consequence of the Hahn–Banach Theorem. Let  $\ell_+^\infty$  denote the Banach space of all complex-valued bounded sequences equipped with its usual sup-norm. A Banach limit is a bounded linear functional  $\varphi: \ell_+^\infty \rightarrow \mathbb{C}$  assigning a complex number to each sequence  $\{\xi_n\} \in \ell_+^\infty$ . For existence and properties of Banach limits see, e.g., [7, Section III.7] or [18, Problem 4.66]). The Banach limit technique used here has originated in the celebrated Nagy’s 1947 paper [31], and has been applied quite often since then (see, e.g., [12, 13, 14] for applications to power bounded operators which is the focus here). For a recent survey see [23].

**Theorem 3.1.** *Let  $T$  be a power bounded operator of class  $C_1$ . on a normed space  $(\mathcal{X}, \|\cdot\|)$ . Then*

- (a) *there is a norm  $\|\cdot\|_\varphi$  on  $\mathcal{X}$  for which  $T$  is an isometry on  $(\mathcal{X}, \|\cdot\|_\varphi)$ .*
- (b) *If a vector  $y \in \mathcal{X}$  is weakly l-sequentially supercyclic for  $T$  when it acts on the normed space  $(\mathcal{X}, \|\cdot\|)$ , then the same vector  $y \in \mathcal{X}$  is weakly l-sequentially supercyclic for  $T$  when it acts on the normed space  $(\mathcal{X}, \|\cdot\|_\varphi)$ .*
- (c) *If  $T$  is weakly l-sequentially supercyclic when acting on  $(\mathcal{X}, \|\cdot\|)$ , then it has an extension  $\widehat{T}$  on the completion  $(\widehat{\mathcal{X}}, \|\cdot\|_{\widehat{\mathcal{X}}})$  of  $(\mathcal{X}, \|\cdot\|_\varphi)$  which is a weakly l-sequentially supercyclic isometric isomorphism.*
- (d) *If  $T$  on  $(\mathcal{X}, \|\cdot\|)$  is weakly stable, then  $\widehat{T}$  on  $(\widehat{\mathcal{X}}, \|\cdot\|_{\widehat{\mathcal{X}}})$  is weakly stable.*

*Proof.* (a) Let  $\varphi: \ell_+^\infty \rightarrow \mathbb{C}$  be a Banach limit. Take the norm  $\|\cdot\|: \mathcal{X} \rightarrow \mathbb{R}$  on  $\mathcal{X}$ . Suppose  $T \in \mathcal{B}[\mathcal{X}]$  is power bounded. Since  $\{\|T^n x\|\} \in \ell_+^\infty$  set for each  $x$  in  $\mathcal{X}$

$$\|x\|_\varphi = \varphi(\{\|T^n x\|\}).$$

Since  $T$  is power bounded, and since a Banach limit  $\varphi$  is order-preserving for real-valued bounded sequences (i.e.,  $\varphi(\{\xi_n\}) \leq \varphi(\{v_n\})$  if  $\xi_n \leq v_n$  in  $\mathbb{R}$  for every  $n$ ) with  $\varphi(\{1, 1, 1, \dots\}) = 1$ , then for every  $x \in \mathcal{X}$

$$\|x\|_\varphi \leq \sup_n \|T^n\| \|x\|.$$

This defines a seminorm  $\|\cdot\|_\varphi: \mathcal{X} \rightarrow \mathbb{R}$  on  $\mathcal{X}$ . However, as the power bounded operator  $T$  is of class  $C_1$ ., then  $0 < \liminf_n \|T^n x\|$  for  $x \neq 0$ . Since  $\liminf_n \xi_n \leq \varphi(\{\xi_n\})$  for every real-valued bounded sequence and any Banach limit  $\varphi$ , then  $\|\cdot\|_\varphi$  becomes a norm on  $\mathcal{X}$ . Consider the normed space  $(\mathcal{X}, \|\cdot\|_\varphi)$ . For simplicity write  $\mathcal{X}$  for the normed space  $(\mathcal{X}, \|\cdot\|_\varphi)$  and write  $\mathcal{X}_\varphi$  for the normed space  $(\mathcal{X}, \|\cdot\|_\varphi)$  — same underlying linear space  $\mathcal{X}$ . The norm  $\|\cdot\|_\varphi$  makes the operator  $T$  into an isometry when acting on  $\mathcal{X}_\varphi$  (i.e.,  $T \in \mathcal{B}[\mathcal{X}_\varphi]$  is an isometry). Indeed, as a Banach limit  $\varphi$  is backward-shift-invariant, then for every  $x \in \mathcal{X}$

$$\|Tx\|_\varphi = \varphi(\{\|(T^{n+1}x)\|\}) = \varphi(\{\|(T^n x)\|\}) = \|x\|_\varphi.$$

(b) Since  $T \in \mathcal{B}[\mathcal{X}]$  is power bounded and of class  $C_1$ , then by the previously displayed inequality the norms are related by  $\|\cdot\|_\varphi \leq \beta \|\cdot\|$  with  $\beta = \sup_n \|T^n\|$ . So

$$\sup_{x \neq 0} \frac{|f(x)|}{\|x\|} \leq \beta \sup_{x \neq 0} \frac{|f(x)|}{\|x\|_\varphi}$$

for every linear functional  $f: \mathcal{X} \rightarrow \mathbb{C}$ . As  $\mathcal{X}^*$  is the dual of  $\mathcal{X}$ , let  $\mathcal{X}_\varphi^*$  denote the dual of  $\mathcal{X}_\varphi$ . By the above inequality if  $f: \mathcal{X} \rightarrow \mathbb{C}$  is bounded as a linear functional on  $\mathcal{X}_\varphi = (\mathcal{X}, \|\cdot\|_\varphi)$ , then it is bounded as a linear functional on  $\mathcal{X} = (\mathcal{X}, \|\cdot\|)$ . Thus

$$\mathcal{X}_\varphi^* \subseteq \mathcal{X}^*.$$

Therefore if  $x, y \in \mathcal{X}$  and if  $f(\alpha_i T^{n_i} y - x) \rightarrow 0$  for every  $f \in \mathcal{X}^*$  then, in particular,  $f(\alpha_i T^{n_i} y - x) \rightarrow 0$  for every  $f \in \mathcal{X}_\varphi^*$ , proving item (b).

(c) Consider the completion  $(\widehat{\mathcal{X}}, \|\cdot\|_{\widehat{\mathcal{X}}})$  of the normed space  $(\mathcal{X}, \|\cdot\|_\varphi)$ . Write  $\widehat{\mathcal{X}}$  for  $(\widehat{\mathcal{X}}, \|\cdot\|_{\widehat{\mathcal{X}}})$  and so  $\widehat{\mathcal{X}}$  is the completion of  $\mathcal{X}_\varphi$ . Thus  $\mathcal{X}_\varphi$  is densely embedded in  $\widehat{\mathcal{X}}$ , which means there is an isometric isomorphism  $J: \mathcal{X}_\varphi \rightarrow J(\mathcal{X}_\varphi) = \widetilde{\mathcal{X}}$  where  $\widetilde{\mathcal{X}}$  is dense in  $\widehat{\mathcal{X}}$ . Let  $\widehat{T} \in \mathcal{B}[\widehat{\mathcal{X}}]$  be the extension of  $T \in \mathcal{B}[\mathcal{X}_\varphi]$  so that its restriction to  $\widetilde{\mathcal{X}}$  is  $\widehat{T}|_{\widetilde{\mathcal{X}}} = J T J^{-1} \in \mathcal{B}[\widetilde{\mathcal{X}}]$ . Thus  $\widetilde{\mathcal{X}}$  is  $\widehat{T}$ -invariant and  $T = J^{-1} \widehat{T}|_{\widetilde{\mathcal{X}}} J = J^{-1} \widehat{T} J \in \mathcal{B}[\mathcal{X}_\varphi]$  as in the following commutative diagram (the symbol  $\subseteq$  means densely included):

$$\begin{array}{ccccc} \mathcal{X}_\varphi & \xrightarrow{J} & \widetilde{\mathcal{X}} = J(\mathcal{X}_\varphi) & \subseteq & \widehat{\mathcal{X}} \\ T \uparrow & & \uparrow \widehat{T}|_{\widetilde{\mathcal{X}}} = J T J^{-1} & & \uparrow \widehat{T} \\ \mathcal{X}_\varphi & \xrightarrow{J} & \widetilde{\mathcal{X}} = J(\mathcal{X}_\varphi) & \subseteq & \widehat{\mathcal{X}} \end{array}$$

*Claim 1.* If there is a weakly l-sequentially supercyclic vector  $y \in \mathcal{X}_\varphi$  for  $T \in \mathcal{B}[\mathcal{X}_\varphi]$ , then there is a weakly l-sequentially vector  $\widetilde{y} \in \widetilde{\mathcal{X}} \subseteq \widehat{\mathcal{X}}$  for  $\widehat{T} \in \mathcal{B}[\widehat{\mathcal{X}}]$ .

*Proof.* Consider the isometric isomorphism  $J \in \mathcal{B}[\mathcal{X}_\varphi, \widetilde{\mathcal{X}}]$ . Set  $\widetilde{T} = \widehat{T}|_{\widetilde{\mathcal{X}}} = J T J^{-1}$  in  $\mathcal{B}[\widetilde{\mathcal{X}}]$  so that  $\widetilde{T}^n = (\widehat{T}|_{\widetilde{\mathcal{X}}})^n = \widehat{T}^n|_{\widetilde{\mathcal{X}}} = J T^n J^{-1}$  for every nonnegative integer  $n$  (since  $\widetilde{\mathcal{X}}$  is  $\widehat{T}$ -invariant). We split the proof into two parts.

(1) If there is a weakly l-sequentially supercyclic vector  $y \in \mathcal{X}_\varphi$  for  $T \in \mathcal{B}[\mathcal{X}_\varphi]$ , then there is a weakly l-sequentially supercyclic vector  $\widetilde{y} \in \widetilde{\mathcal{X}}$  for  $\widetilde{T} \in \mathcal{B}[\widetilde{\mathcal{X}}]$ .

(2) If there is a weakly l-sequentially supercyclic vector  $\widetilde{y} \in \widetilde{\mathcal{X}}$  for  $\widetilde{T} \in \mathcal{B}[\widetilde{\mathcal{X}}]$ , then  $\widetilde{y}$  is a weakly l-sequentially supercyclic vector for  $\widehat{T} \in \mathcal{B}[\widehat{\mathcal{X}}]$ .

*Proof of (1).* If there exists a weakly l-sequentially supercyclic vector  $y \in \mathcal{X}_\varphi$  for  $T \in \mathcal{B}[\mathcal{X}_\varphi]$ , then for each  $x \in \mathcal{X}_\varphi$  there is a sequence of nonzero numbers  $\{\alpha_j(x)\}_{j \geq 0}$  and a subsequence  $\{T^{n_j}\}_{j \geq 0}$  of  $\{T^n\}_{n \geq 0}$  such that

$$\alpha_j(x) T^{n_j} y \xrightarrow{w} x.$$

Set  $\widetilde{y} = Jy$ . Every  $f$  in  $\mathcal{X}_\varphi^* = \mathcal{B}[\mathcal{X}_\varphi, \mathbb{C}]$  is of the form  $f = \widetilde{f}J$  for some  $\widetilde{f}$  in  $\widetilde{\mathcal{X}}^* = \mathcal{B}[\widetilde{\mathcal{X}}, \mathbb{C}]$ , and every  $\widetilde{x}$  in  $\widetilde{\mathcal{X}}$  is of the form  $\widetilde{x} = Jx$  for some  $x$  in  $\mathcal{X}_\varphi$ . Then for each  $\widetilde{x} \in \widetilde{\mathcal{X}}$  set

$\tilde{\alpha}_j(\tilde{x}) = \tilde{\alpha}_j(Jx) = \alpha_j(x)$  with  $x \in \mathcal{X}_\varphi$ . Take an arbitrary  $\tilde{f} \in \tilde{\mathcal{X}}^*$ . Thus for each  $\tilde{x} \in \tilde{\mathcal{X}}$  there is a sequence  $\{\tilde{\alpha}_j(\tilde{x})\}_{j \geq 0}$  (independent of  $\tilde{f}$ ) such that

$$\begin{aligned} \tilde{f}(\tilde{\alpha}_j(\tilde{x})\tilde{T}^{n_j}\tilde{y} - \tilde{x}) &= \tilde{f}(\alpha_j(x)JT^{n_j}y - Jx) \\ &= \tilde{f}J(\alpha_j(x)T^{n_j}y - x) = f(\alpha_j(x)T^{n_j}y - x) \end{aligned}$$

with  $x \in \mathcal{X}_\varphi$  and  $f \in \mathcal{X}_\varphi^*$ . So if  $y \in \mathcal{X}_\varphi$  is a weakly l-sequentially supercyclic vector for  $T \in \mathcal{B}[\mathcal{X}_\varphi]$  such that, for each  $x \in \mathcal{X}_\varphi$ ,  $f(\alpha_j(x)T^{n_j}y - x) \rightarrow 0$  for every  $f \in \mathcal{X}_\varphi^*$ , then, for each  $\tilde{x} \in \tilde{\mathcal{X}}$ ,  $\tilde{f}(\tilde{\alpha}_j(\tilde{x})\tilde{T}^{n_j}\tilde{y} - \tilde{x}) \rightarrow 0$  for every  $\tilde{f} \in \tilde{\mathcal{X}}^*$ . Hence  $\tilde{y} = Jy$  is a weakly l-sequentially supercyclic vector for  $\tilde{T} \in \mathcal{B}[\tilde{\mathcal{X}}]$ , proving (1): for every  $\tilde{x} \in \tilde{\mathcal{X}}$

$$\tilde{\alpha}_j(\tilde{x})\tilde{T}^{n_j}\tilde{y} \xrightarrow{w} \tilde{x}.$$

*Proof of (2).* Take an arbitrary  $\hat{x} \in \hat{\mathcal{X}}$ . Since  $\tilde{\mathcal{X}}^- = \hat{\mathcal{X}}$ , there is a sequence  $\{\tilde{x}_k\}_{k \geq 0}$ , with  $\tilde{x}_k = \tilde{x}_k(\hat{x}) \in \tilde{\mathcal{X}}$  for each  $k$ , such that  $\tilde{x}_k \rightarrow \hat{x}$ . Then by the above convergence

$$\tilde{\alpha}_j(\tilde{x}_k)\tilde{T}^{n_j}\tilde{y} \xrightarrow{w} \tilde{x}_k \xrightarrow{k} \hat{x}. \quad (*)$$

This ensures the existence of a sequence of nonzero numbers  $\{\hat{\alpha}_i(\hat{x})\}_{i \geq 1}$  such that

$$\hat{\alpha}_i(\hat{x})\hat{T}^{n_i}\hat{y} \xrightarrow{w} \hat{x} \quad (**)$$

for a subsequence  $\{\hat{T}^{n_i}\}_{i \geq 1}$  of  $\{\hat{T}^n\}_{n \geq 0}$ .

Indeed, consider both convergences in (\*). Take an arbitrary  $\varepsilon > 0$ . Thus by the second convergence in (\*) there exists a positive integer  $k_\varepsilon$  such that  $\|\tilde{x}_k - \hat{x}\|_{\hat{\mathcal{X}}} \leq \frac{\varepsilon}{2}$  whenever  $k \geq k_\varepsilon$ . Recall that every  $\tilde{f}$  in  $\tilde{\mathcal{X}}^*$  is of the form  $\tilde{f} = \hat{f}|_{\tilde{\mathcal{X}}}$  for some  $\hat{f}$  in  $\hat{\mathcal{X}}^* = \mathcal{B}[\hat{\mathcal{X}}, \mathbb{C}]$ . Take an arbitrary  $\hat{f}$  in  $\hat{\mathcal{X}}^*$  with  $\|\hat{f}\| = 1$ . By the first convergence in (\*), for each  $k$  there exists a positive integer  $j_{\varepsilon, k}$  such that  $|\tilde{f}(\tilde{\alpha}_j(\tilde{x}_k)\tilde{T}^{n_j}\tilde{y} - \tilde{x}_k)| \leq \frac{\varepsilon}{2}$  for  $\tilde{f} = \hat{f}|_{\tilde{\mathcal{X}}}$  for every  $\hat{f}$  with  $\|\hat{f}\| = 1$  whenever  $j \geq j_{\varepsilon, k}$ . However, by taking  $k = k_\varepsilon$ ,

$$\begin{aligned} |\hat{f}(\tilde{\alpha}_j(\tilde{x}_{k_\varepsilon})\hat{T}^{n_j}\hat{y} - \hat{x})| &= |\hat{f}|_{\tilde{\mathcal{X}}}(\tilde{\alpha}_j(\tilde{x}_{k_\varepsilon})(\hat{T}|_{\tilde{\mathcal{X}}})^{n_j}\tilde{y} - \tilde{x}_{k_\varepsilon}) + \hat{f}(\tilde{x}_{k_\varepsilon} - \hat{x})| \\ &\leq |\tilde{f}(\tilde{\alpha}_j(\tilde{x}_{k_\varepsilon})\tilde{T}^{n_j}\tilde{y} - \tilde{x}_{k_\varepsilon})| + \|\tilde{x}_{k_\varepsilon} - \hat{x}\|_{\hat{\mathcal{X}}} \leq \varepsilon \end{aligned}$$

whenever  $j \geq j_{\varepsilon, k_\varepsilon}$ . Take an arbitrary integer  $i \geq 1$  and set  $\varepsilon = \frac{1}{i}$ . Consequently, set  $k(i) = k_\varepsilon = k_{\frac{1}{i}}$  and  $j(i) = j_{\varepsilon, k_\varepsilon} = j_{\frac{1}{i}, k_i}$  for every  $i \geq 1$ . So for every integer  $i \geq 1$  there is an integer  $j(i) \geq 0$  such that, setting  $\tilde{\alpha}_j(\tilde{x}_{k(i)}) = \tilde{\alpha}_j(\tilde{x}_{k_\varepsilon}) = \alpha_j(\tilde{x}_{k_\varepsilon}(\hat{x}))$ , we get  $|\hat{f}(\tilde{\alpha}_j(\tilde{x}_{k(i)})\hat{T}^{n_j}\hat{y} - \hat{x})| \leq \frac{1}{i}$  whenever  $j \geq j(i)$ . Then by taking  $j = j(i)$ ,

$$|\hat{f}(\tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)})\hat{T}^{n_{j(i)}}\hat{y} - \hat{x})| \leq \frac{1}{i} \quad \text{for every integer } i \geq 1.$$

Therefore for each  $\hat{x} \in \hat{\mathcal{X}}$  there exists a sequence  $\{\tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)})\}_{i \geq 1}$  (that does not depend on  $\hat{f}$ ) for which  $\hat{f}(\tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)})\hat{T}^{n_{j(i)}}\hat{y} - \hat{x}) \rightarrow 0$  for an arbitrary  $\hat{f} \in \hat{\mathcal{X}}^*$  with  $\|\hat{f}\| = 1$ , and hence  $\hat{f}(\tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)})\hat{T}^{n_{j(i)}}\hat{y} - \hat{x}) \rightarrow 0$  for every  $\hat{f} \in \hat{\mathcal{X}}^*$ , which means.

$$\tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)})\hat{T}^{n_{j(i)}}\hat{y} \xrightarrow{w} \hat{x}.$$

For each integer  $i \geq 1$  set  $\hat{\alpha}_i(\hat{x}) = \tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)}) = \tilde{\alpha}_{j(i)}(\tilde{x}_{k(i)}(\hat{x}))$  and  $\hat{T}^{n_i} = \hat{T}^{n_{j(i)}}$ . Thus for each  $\hat{x} \in \hat{\mathcal{X}}$  there is a sequence  $\{\hat{\alpha}_i(\hat{x})\}_{i \geq 1}$  and a subsequence  $\{\hat{T}^{n_i}\}_{i \geq 1}$  of  $\{\hat{T}^n\}_{n \geq 0}$  such that  $(**)$  holds. This proves (2) with  $\tilde{y} \in \tilde{\mathcal{X}} \subseteq \hat{\mathcal{X}}$ : for every  $\hat{x} \in \hat{\mathcal{X}}$

$$\hat{\alpha}_i(\hat{x}) \hat{T}^{n_i} \tilde{y} \xrightarrow{w} \hat{x}.$$

So  $\tilde{y}$  is a weakly l-sequentially supercyclic vector for  $\hat{T} \in \mathcal{B}[\hat{\mathcal{X}}]$ , proving Claim 1.  $\square$

*Note.* A norm topology version of Claim 1 (i.e., a version of Claim 1 for supercyclicity) is known since Ansari–Bourdon’s paper [1] although as far as we are aware such a supercyclic case has been left without a proof so far (see, e.g., [1, p.198]). The weak counterpart proved in Claim 1 above (i.e., the version for weak l-sequential supercyclicity) has a natural transcription for the supercyclic case, thus supporting a proof (using the same argument) for the strong (norm topology) version as well.

Then by (a), (b), and Claim 1 we get (c). Indeed, since  $T$  is an isometry on  $\mathcal{X}_\varphi$ , then so is its extension  $\hat{T}$  on the completion  $\hat{\mathcal{X}}$  of  $\mathcal{X}_\varphi$ . Since  $\mathcal{R}(\hat{T})$  is dense by supercyclicity (Remark 2.1), and closed as  $\hat{T}$  is a linear isometry on a Banach space, then  $\hat{T}$  is a surjective linear isometry, which means an isometric isomorphism.

(d) Take any  $x \in \mathcal{X}$ . Consider the setup in the proof of items (b,c). Since  $\mathcal{X}_\varphi^* \subseteq \mathcal{X}^*$ , if  $f(T^n x) \rightarrow 0$  for every  $f \in \mathcal{X}^*$ , then in particular  $f(T^n x) \rightarrow 0$  for every  $f \in \mathcal{X}_\varphi^*$ :

if  $T$  on  $\mathcal{X}$  is weakly stable, then  $T$  on  $\mathcal{X}_\varphi$  is weakly stable. (i)

The same argument and notation as in proof of (c), where  $J: \mathcal{X}_\varphi \rightarrow \tilde{\mathcal{X}} \subseteq \hat{\mathcal{X}}$  is an isometric isomorphism, lead to the identity (with  $\tilde{x} = Jx$  and  $\tilde{T} = \hat{T}|_{\tilde{\mathcal{X}}} = JTJ^{-1}$ )

$$f(T^n x) = \tilde{f}J(T^n x) = \tilde{f}(JT^n x) = \tilde{f}(\tilde{T}^n \tilde{x}) = \hat{f}|_{\tilde{\mathcal{X}}}(\hat{T}|_{\tilde{\mathcal{X}}})^n \tilde{x} = \hat{f}(\hat{T}^n \tilde{x})$$

for an arbitrary pair of vectors ( $x = J^{-1}\tilde{x} \in \mathcal{X}_\varphi$ ,  $\tilde{x} = Jx \in \tilde{\mathcal{X}}$ ), and an arbitrary triple of functionals ( $f \in \mathcal{X}_\varphi^*$ ,  $\tilde{f} = fJ^{-1} = \hat{f}|_{\tilde{\mathcal{X}}} \in \tilde{\mathcal{X}}^*$ ,  $\hat{f} \in \hat{\mathcal{X}}^*$  — the extension by continuity of  $\tilde{f}$  from  $\tilde{\mathcal{X}}$  over  $\hat{\mathcal{X}} = \tilde{\mathcal{X}}^-$ ), where  $JT^n = \tilde{T}^n J = \hat{T}^n J$  for every  $n$  (as  $\tilde{\mathcal{X}}$  is  $\hat{T}$ -invariant), and  $\sup_n \|\hat{T}^n\| = \sup_n \|T^n\| = \beta$ . Take an arbitrary  $\varepsilon > 0$ . Since  $\tilde{\mathcal{X}}^- = \hat{\mathcal{X}}$ , for each  $\hat{x} \in \hat{\mathcal{X}}$  there is an  $x = J^{-1}\tilde{x} \in \mathcal{X}_\varphi$  such that  $\|\hat{x} - \tilde{x}\|_{\hat{\mathcal{X}}} < \varepsilon$ . Also  $f(T^n x) = \hat{f}(\hat{T}^n \tilde{x})$  as seen above. Hence for each  $\hat{x} \in \hat{\mathcal{X}}$  there is an  $x \in \mathcal{X}_\varphi$  such that

$$||f(T^n x)| - |\hat{f}(\hat{T}^n \hat{x})|| = ||\hat{f}(\hat{T}^n \tilde{x})| - |\hat{f}(\hat{T}^n \hat{x})|| < \|\hat{f}\| \beta \varepsilon,$$

where  $\|f\| = \|\tilde{f}\| = \|\hat{f}\|$ . Thus if  $f(T^n x) \rightarrow 0$  for every  $f \in \mathcal{X}_\varphi^*$ , then  $\hat{f}(\hat{T}^n \hat{x}) \rightarrow 0$  for every  $\hat{f} \in \hat{\mathcal{X}}^*$  (the converse is trivial). Therefore

$T$  on  $\mathcal{X}_\varphi$  is weakly stable if and only if  $\hat{T}$  on  $\hat{\mathcal{X}}$  is weakly stable. (ii)

By (i) and (ii) we get the result in (d).  $\square$

**Remark 3.1.** (a) *There is no weakly  $l$ -sequentially supercyclic nonsurjective isometry.* In other words, every weakly  $l$ -sequentially supercyclic isometry on a Banach space is surjective, thus an isometric isomorphism. Indeed, isometries on a Banach space have closed range (norm topology, since isometries are bounded below), and any form of supercyclicity leads to a dense range (any topology, Remark 2.1). Thus a nonsurjective isometry is not weakly supercyclic, and so it is not weakly  $l$ -sequentially supercyclic.

(b) *There is no weakly  $l$ -sequentially supercyclic compact operator of class  $C_1$ .* In fact, a weakly  $l$ -sequentially supercyclic compact operator  $T$  is quasinilpotent (i.e.,  $r(T) = 0$ ) [22, Theorem 4.2], and so uniformly stable, thus of class  $C_{00}$ .

If the norm  $\|\cdot\|$  of  $\mathcal{X}$  in Theorem 3.1 is induced by an inner product  $\langle \cdot; \cdot \rangle$ , then the norm  $\|\cdot\|_{\widehat{\mathcal{X}}}$  is also induced by an inner product and so  $\widehat{\mathcal{X}}$  is a Hilbert space. In this case a Hilbert space version of Theorem 3.1 can be stated, where the new norm that makes  $T$  into an isometry is now given by  $\|x\|_{\varphi}^2 = \varphi(\{\langle T^n x; T^n x \rangle\})$  for each  $x \in \mathcal{X}$ , and an isometric isomorphism now means a unitary transformation.

**Theorem 3.2.** *Let  $T$  be a power bounded operator of class  $C_1$  on an inner product space  $(\mathcal{X}, \langle \cdot; \cdot \rangle)$ . Then*

- (a) *there is an inner product  $\langle \cdot; \cdot \rangle_{\varphi}$  on  $\mathcal{X}$  for which  $T$  is an isometry on  $(\mathcal{X}, \langle \cdot; \cdot \rangle_{\varphi})$ .*
- (b) *If a vector  $y \in \mathcal{X}$  is weakly  $l$ -sequentially supercyclic for  $T$  when it acts on the inner product space  $(\mathcal{X}, \langle \cdot; \cdot \rangle)$ , then the same vector  $y \in \mathcal{X}$  is weakly  $l$ -sequentially supercyclic for  $T$  when it acts on the inner product space  $(\mathcal{X}, \langle \cdot; \cdot \rangle_{\varphi})$ .*
- (c) *If  $T$  is weakly  $l$ -sequentially supercyclic when acting on  $(\mathcal{X}, \langle \cdot; \cdot \rangle)$ , then it has an extension  $\widehat{T}$  on the completion  $(\widehat{\mathcal{X}}, \langle \cdot; \cdot \rangle_{\widehat{\mathcal{X}}})$  of  $(\mathcal{X}, \langle \cdot; \cdot \rangle_{\varphi})$  which is a weakly  $l$ -sequentially supercyclic unitary transformation.*
- (d) *If  $T$  on  $(\mathcal{X}, \langle \cdot; \cdot \rangle)$  is weakly stable, then  $\widehat{T}$  on  $(\widehat{\mathcal{X}}, \langle \cdot; \cdot \rangle_{\widehat{\mathcal{X}}})$  is weakly stable.*

*Proof.* Essentially the same argument as in proof of Theorem 3.1.

Let  $\varphi: \ell_+^{\infty} \rightarrow \mathbb{C}$  be a Banach limit and let  $\|\cdot\|: \mathcal{X} \rightarrow \mathbb{R}$  be the norm induced by the inner product  $\langle \cdot; \cdot \rangle: \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{C}$ . Suppose  $T \in \mathcal{B}[\mathcal{X}]$  is power bounded. Thus set

$$\langle x; z \rangle_{\varphi} = \varphi(\{\langle T^n x; T^n z \rangle\})$$

for each  $x, z$  in  $\mathcal{X}$ . Since  $\varphi$  is linear (which implies  $\varphi(\overline{\{\xi_n\}}) = \overline{\varphi(\{\xi_n\})}$ ) and positive (i.e.,  $0 \leq \varphi(\{\xi_n\})$  whenever  $0 \leq \xi_n$  for every  $n$ ), and since  $T$  is linear, then it is readily verified that  $\langle \cdot; \cdot \rangle_{\varphi}: \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{C}$  is a semi-inner product on  $\mathcal{X}$ . Hence

$$\|x\|_{\varphi}^2 = \varphi(\{\|T^n x\|^2\})$$

for every  $x \in \mathcal{X}$  where  $\|\cdot\|_{\varphi}: \mathcal{X} \rightarrow \mathbb{R}$  is the seminorm induced by the semi-inner product  $\langle \cdot; \cdot \rangle_{\varphi}$  so that  $\|x\|_{\varphi} \leq \sup_n \|T^n\| \|x\|$ . (Even in this case of norms of a power sequence of a power bounded operator, the squares in the above identity cannot be omitted due to the nonmultiplicativity of Banach limits). From now on the proof develops as the proof for

Theorem 3.1, where the previous seminorm is replaced by the above one, which becomes a norm under the same assumption of  $T$  being of class  $C_1$ . As before we work with the norms  $\|\cdot\|$  and  $\|\cdot\|_\varphi$  on  $\mathcal{X}$  regardless the fact that they may have been induced by inner products, and again write  $\mathcal{X}$  for the inner product space  $(\mathcal{X}, \langle \cdot, \cdot \rangle)$  and  $\mathcal{X}_\varphi$  for the inner product space  $(\mathcal{X}, \langle \cdot, \cdot \rangle_\varphi)$ .  $\square$

**Remark 3.2.** Let  $T$  be a weakly stable (thus power bounded) weakly  $l$ -sequentially supercyclic operator of class  $C_1$ . on an inner product space. Consider Theorem 3.2. Since  $\widehat{T}$  is an isometry, it is not supercyclic [1, Theorem 2.1]. Since  $\widehat{T}$  is a weakly  $l$ -sequentially supercyclic and weakly stable unitary operator, then it is singular-continuous [19, Theorem 4.2] and its scalar spectral measure is Rajchman. Recall: a unitary operator on a Hilbert space is weakly stable if and only if its scalar spectral measure is a Rajchman measure (i.e., a measure  $\mu$  on the  $\sigma$ -algebra of Borel subsets of the unit circle  $\mathbb{T}$  for which  $\int_{\mathbb{T}} \lambda^k d\mu \rightarrow 0$  for  $|k| \rightarrow \infty$ ) [19, Proposition 3.3].

*If  $T$  is a weakly stable weakly  $l$ -sequentially supercyclic power bounded operator of class  $C_1$ . on an inner product space, then it has an extension  $\widehat{T}$  on a Hilbert space which is a weakly stable weakly  $l$ -sequentially supercyclic (but not supercyclic) singular-continuous unitary operator (thus of class  $C_{11}$ ) whose scalar spectral measure is a Rajchman measure.*

## 4 Two Applications

Let  $\sigma_P(\cdot)$  stand for point spectrum. Let the continuous linear operator  $T^*$  stand for the normed-space (or topological-vector-space) adjoint of a continuous linear operator  $T$  (if  $T$  is a Hilbert-space operator, then  $T^*$  is identified with the Hilbert-space adjoint of  $T$ .) If  $T$  is supercyclic, then  $\#\sigma_P(T^*) \leq 1$  (i.e., then the cardinality of the point spectrum of  $T^*$  is not greater than one). In other words, if  $T$  is supercyclic, then the adjoint of  $T$  has at most one eigenvalue. This has been verified for supercyclic operators in a Hilbert-space setting in [10, Proposition 3.1], extended to operators on a normed space in [1, Theorem 3.2]), and further extended to supercyclic operators on a locally convex space in [26, Lemma 1, Theorem 4]. But the weak topology of a normed space is a locally convex subtopology of the locally convex norm topology (see, e.g., [24, Theorems 2.5.2 and 2.2.3]). Then the latter extension holds in particular on a normed space under the weak topology, thus including weakly supercyclic operators and consequently weakly  $l$ -sequentially supercyclic operators on normed spaces:

If  $T$  is weakly  $l$ -sequentially supercyclic, then  $\#\sigma_P(T^*) \leq 1$ .

We show next that  $\#\sigma_P(T^*) = \#\sigma_P(T) = 0$  if the weakly  $l$ -sequentially supercyclic power bounded operator  $T$  is of class  $C_1$ . Therefore  $\#\sigma_P(T^*) = 1$  only if  $T^n x \rightarrow 0$  for some nonzero  $x \in \mathcal{X}$ .

**Corollary 4.1.** *Let  $T$  be a power bounded operator of class  $C_1$ . on a Hilbert space  $\mathcal{X}$ . If  $T$  is weakly  $l$ -sequentially supercyclic, then*

$$\sigma_P(T) = \sigma_P(T^*) = \emptyset.$$

*Proof.* Let  $T$  be a power bounded operator of class  $C_1$  on a normed space  $\mathcal{X}$ . Consider the extension  $\widehat{T} \in \mathcal{B}[\widehat{\mathcal{X}}]$  of  $T \in \mathcal{B}[\mathcal{X}_\varphi]$  over the completion  $\widehat{\mathcal{X}}$  of  $\mathcal{X}_\varphi$  as in the proof of Theorem 3.1. We split this proof into three parts.

PART 1. Clearly  $\sigma_P(T)$  is the same regardless whether  $T$  acts on  $\mathcal{X} = (\mathcal{X}, \|\cdot\|)$  or in  $\mathcal{X}_\varphi = (\mathcal{X}, \|\cdot\|_\varphi)$  since point spectrum is purely an algebraic notion and in both cases the linear  $T$  acts on the same linear space  $\mathcal{X}$ . Let  $T$  act on  $\mathcal{X}_\varphi$ . Thus  $T = J^{-1}\widehat{T}|_{\widehat{\mathcal{X}}}J \in \mathcal{B}[\mathcal{X}_\varphi]$  where  $J \in \mathcal{B}[\mathcal{X}_\varphi, \widehat{\mathcal{X}}]$  is an isometric isomorphism and  $\widehat{\mathcal{X}}$  is  $\widehat{T}$ -invariant (cf. proof of Theorem 3.1). Since similarity preserves the spectrum and its parts, then  $\sigma_P(T) = \sigma_P(\widehat{T}|_{\widehat{\mathcal{X}}}) \subseteq \sigma_P(\widehat{T})$ . Therefore for  $T$  acting on  $\mathcal{X}$  or on  $\mathcal{X}_\varphi$

$$\sigma_P(T) \neq \emptyset \implies \sigma_P(\widehat{T}) \neq \emptyset.$$

PART 2. The definition of adjoint involves topology and although the duals are nested they may not coincide. Let  $T^*$  in  $\mathcal{B}[\mathcal{X}^*]$  be the adjoint of  $T$  acting on  $\mathcal{X} = (\mathcal{X}, \|\cdot\|)$ . Use the same notation  $T^*$  for the adjoint in  $\mathcal{B}[\mathcal{X}_\varphi^*]$  of  $T$  acting on  $\mathcal{X}_\varphi = (\mathcal{X}, \|\cdot\|_\varphi)$ . First suppose there exists  $\lambda \in \sigma_P(T^*)$  for  $T$  acting on  $\mathcal{X}_\varphi$  which means  $\lambda g = T^*g$  for some nonzero  $g \in \mathcal{X}_\varphi^*$ . Then  $g \in \mathcal{X}^*$  and so  $\lambda \in \sigma_P(T^*)$  for  $T$  acting on  $\mathcal{X}$ . Thus the inclusion  $\mathcal{X}_\varphi^* \subseteq \mathcal{X}^*$  (which may be proper) allows us to infer

$$\sigma_P(T^*) \neq \emptyset \text{ for } T \text{ acting on } \mathcal{X}_\varphi \implies \sigma_P(T^*) \neq \emptyset \text{ for } T \text{ acting on } \mathcal{X}.$$

The converse requires a different argument. Suppose  $\mathcal{X}$  is a Hilbert space and let  $T^* \in \mathcal{B}[\mathcal{X}]$  be the Hilbert-space adjoint of  $T \in \mathcal{B}[\mathcal{X}]$ .

*Claim 2.* There exists a positive operator  $A \in \mathcal{B}[\mathcal{X}]$  (i.e.,  $A > O$ ) for which

$$\langle x; z \rangle_\varphi = \langle Ax; z \rangle \text{ for every } x, z \in \mathcal{X}, \quad \text{with } \mathcal{R}(A)^- = \mathcal{X}, \quad \text{and} \quad T^*AT = A.$$

*Proof.* Since the inner product  $\langle \cdot; \cdot \rangle_\varphi: \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{C}$  is a bounded sesquilinear form (i.e.,  $|\langle x; z \rangle_\varphi| = |\varphi(\{ \langle T^n x, T^n z \rangle \})| \leq \|\varphi\| \sup_n |\langle T^n x; T^n z \rangle| \leq \beta^2 \|x\| \|z\|$  where  $\|\varphi\| = 1$  and  $\beta = \sup_n \|T^n\|$ ), then a classical result from [30, Theorem 2.28] ensures the existence of such a positive operator  $A$ . Since  $A > O$  is injective and self-adjoint, then the range  $\mathcal{R}(A)$  of  $A$  is dense in  $(\mathcal{X}, \langle \cdot; \cdot \rangle)$ . Moreover since  $\|Tx\|_\varphi = \|x\|_\varphi$ ,

$$\langle Ax; x \rangle = \langle x; x \rangle_\varphi = \langle Tx; Tx \rangle_\varphi = \langle ATx; Tx \rangle = \langle T^*ATx; x \rangle$$

for every  $x \in \mathcal{X}$  and so, as  $A - T^*AT$  is self-adjoint,  $A = T^*AT$ .  $\square$

Take  $f \in \mathcal{X}^*$ . Since  $\mathcal{X}$  is a Hilbert space,  $f = \langle \cdot; y \rangle$  for some  $y \in \mathcal{X}$ . Take the restriction  $f|_{\mathcal{R}(A)}: \mathcal{R}(A) \subseteq \mathcal{X} \rightarrow \mathbb{C}$ . Since  $fA = \langle A \cdot; y \rangle = \langle \cdot; y \rangle_\varphi \in \mathcal{X}_\varphi^*$  we can conclude that  $f|_{\mathcal{R}(A)} \in \mathcal{X}_\varphi^*$ . Now take any  $g \in \mathcal{R}(A)^*$ . Since  $\mathcal{R}(A)^- = \mathcal{X}$ , then by continuity there exists  $\widehat{g} \in \mathcal{X}^*$  such that  $g = \widehat{g}|_{\mathcal{R}(A)}$ , which is in  $\mathcal{X}_\varphi^*$  as we saw above. So  $\mathcal{R}(A)^* \subseteq \mathcal{X}_\varphi^*$ . Moreover, since  $\mathcal{R}(A)^- = \mathcal{X}$ , then  $\mathcal{X}^* \cong \mathcal{R}(A)^*$  where  $\cong$  denotes isometrically isomorphic (see, e.g., [24, Exercise 1.112, p.95]). Therefore

$$\mathcal{R}(A)^- = \mathcal{X} \implies \mathcal{X}^* \cong \mathcal{R}(A)^* \subseteq \mathcal{X}_\varphi^*.$$

Take an isometric isomorphism  $W: \mathcal{X}^* \rightarrow W(\mathcal{X}^*) = \mathcal{R}(A)^* \subseteq \mathcal{X}_\varphi^*$ . If  $\lambda$  lies in  $\sigma_P(T^*)$  for  $T$  acting on  $\mathcal{X}$ , then there is a nonzero  $f$  in  $\mathcal{X}^*$  for which  $\lambda f = T^*f$ . Set  $g = Wf$  in  $\mathcal{X}_\varphi^*$ . By

definition of adjoint,  $\lambda g = \lambda Wf = W\lambda f = WT^*f = WfT = gT = T^*g$  (same notation for  $T^*$  on  $\mathcal{X}^*$  or  $\mathcal{X}_\varphi^*$ ). Then  $\lambda \in \sigma_P(T^*)$  for  $T$  acting on  $\mathcal{X}_\varphi$ . Hence

$$\sigma_P(T^*) \neq \emptyset \text{ for } T \text{ acting on } \mathcal{X} \implies \sigma_P(T^*) \neq \emptyset \text{ for } T \text{ acting on } \mathcal{X}_\varphi. \quad (\text{iii})$$

Next let  $T$  act on  $\mathcal{X}_\varphi$  and take its adjoint  $T^*$  on  $\mathcal{X}_\varphi^*$ . Since  $T = J^{-1}\widehat{T}|_{\widehat{\mathcal{X}}}J \in \mathcal{B}[\mathcal{X}_\varphi]$  we get  $T^*f = fT = fJ^{-1}\widehat{T}|_{\widehat{\mathcal{X}}}J = (J^{-1}\widehat{T}|_{\widehat{\mathcal{X}}}J)^*f = J^*(\widehat{T}|_{\widehat{\mathcal{X}}})^*J^{*-1}f$  for every  $f \in \mathcal{X}_\varphi^*$ . Thus  $T^* = J^*(\widehat{T}|_{\widehat{\mathcal{X}}})^*J^{*-1}$  (i.e.,  $T^*$  and  $(\widehat{T}|_{\widehat{\mathcal{X}}})^*$  are isometrically isomorphic) and so  $\sigma_P(T^*) = \sigma_P((\widehat{T}|_{\widehat{\mathcal{X}}})^*)$ . Also,  $\widehat{\mathcal{X}}^* \cong \widehat{\mathcal{X}}^*$  as  $\widehat{\mathcal{X}}^- = \widehat{\mathcal{X}}$  (see, e.g., [24, Exercise 1.112] again). Take an isometric isomorphism  $K: \widehat{\mathcal{X}}^* \rightarrow \widehat{\mathcal{X}}^*$  and an arbitrary  $\widetilde{f}$  in  $\widehat{\mathcal{X}}^*$ . By continuity  $\widetilde{f} = \widehat{f}|_{\widehat{\mathcal{X}}} = K^{-1}\widehat{f}$  for a unique  $\widehat{f} \in \widehat{\mathcal{X}}^*$ . Then  $K(\widehat{T}|_{\widehat{\mathcal{X}}})^*\widetilde{f} = K\widetilde{f}(\widehat{T}|_{\widehat{\mathcal{X}}}) = \widehat{f}\widehat{T} = \widehat{T}^*\widehat{f} = \widehat{T}^*K\widetilde{f}$ . Hence  $K(\widehat{T}|_{\widehat{\mathcal{X}}})^* = \widehat{T}^*K$  (i.e.,  $(\widehat{T}|_{\widehat{\mathcal{X}}})^*$  and  $\widehat{T}^*$  are isometrically isomorphic). So  $\sigma_P((\widehat{T}|_{\widehat{\mathcal{X}}})^*) = \sigma_P(\widehat{T}^*)$ . Thus  $\sigma_P(T^*) = \sigma_P((\widehat{T}|_{\widehat{\mathcal{X}}})^*) = \sigma_P(\widehat{T}^*)$ . Then

$$\sigma_P(T^*) \neq \emptyset \text{ for } T \text{ acting on } \mathcal{X}_\varphi \implies \sigma_P(\widehat{T}^*) \neq \emptyset. \quad (\text{iv})$$

According to (iii) and (iv) we get

$$\sigma_P(T^*) \neq \emptyset \text{ for } T \text{ acting on } \mathcal{X} \implies \sigma_P(\widehat{T}^*) \neq \emptyset.$$

PART 3. By Theorem 3.2  $\widehat{T}$  is a weakly l-sequentially supercyclic unitary operator on the Hilbert space  $\widehat{\mathcal{X}}$ . Then  $\widehat{T}^*$  also is a weakly l-sequentially supercyclic unitary on  $\widehat{\mathcal{X}}$  [22, Theorem 3.3]. But weakly l-sequentially supercyclic unitary operators are singular-continuous [19, Theorem 4.2], and so have no eigenvalues:  $\sigma_P(\widehat{T}) = \sigma_P(\widehat{T}^*) = \emptyset$ . Therefore by Parts 1 and 2, for  $T$  acting on the Hilbert space  $\mathcal{X}$ ,

$$\sigma_P(T) = \sigma_P(T^*) = \sigma_P(\widehat{T}) = \sigma_P(\widehat{T}^*) = \emptyset. \quad \square$$

**Corollary 4.2.** *Let  $T \in \mathcal{B}[\mathcal{X}]$  be a power bounded operator of class  $C_1$ , acting on a Hilbert space  $\mathcal{X}$ . Let  $\|\cdot\|$  be the norm generated by the inner product  $\langle \cdot; \cdot \rangle$  on  $\mathcal{X}$ . Consider the new inner product space  $\mathcal{X}_\varphi$  where  $\|\cdot\|_\varphi$  is the new norm induced by the new inner product  $\langle \cdot; \cdot \rangle_\varphi$  on  $\mathcal{X}$  as in Theorem 3.2. Take the positive operator  $A \in \mathcal{B}[\mathcal{X}]$  for which  $\langle \cdot; \cdot \rangle_\varphi = \langle A\cdot; \cdot \rangle$  as in Claim 2 (proof of Corollary 4.1). The following assertions are pairwise equivalent.*

- (a)  *$A$  is invertible (i.e., has bounded inverse on  $\mathcal{X}$ ).*
- (b) *The norms  $\|\cdot\|$  and  $\|\cdot\|_\varphi$  on  $\mathcal{X}$  are equivalent.*
- (c)  *$T$  acting on  $\mathcal{X}$  is similar to an isometry.*
- (d)  *$T$  acting on  $\mathcal{X}$  is power bounded below (i.e., there is a constant  $\gamma > 0$  such that  $\gamma\|x\| \leq \|T^n x\|$  for all integers  $n \geq 1$  and every  $x \in \mathcal{X}$ ).*

*If  $T$  is weakly l-sequentially supercyclic, then any of the above equivalent assertions implies  $T$  is unitary when acting on  $\mathcal{X}_\varphi$ , which in turn implies*

- (e)  *$T$  acting on  $\mathcal{X}$  is similar to a unitary operator.*

*Proof.* (a)  $\iff$  (b): Since  $A$  is self-adjoint and injective it has a dense range. If in addition  $A$  is bounded below, then it has a closed range and so it is surjective. Thus the positive operator  $A$  is invertible (i.e., it has a bounded inverse on  $\mathcal{X}$ , equivalently, it is bounded below and surjective) if and only if (cf. proof of Claim 2)  $\gamma\|x\| \leq \|A^{\frac{1}{2}}x\| = \|x\|_{\varphi} \leq \beta\|x\|$  for every  $x$  in  $\mathcal{X}$  for some  $\gamma > 0$  (and in this case  $\gamma = \|A^{-\frac{1}{2}}\|^{-1}$ ), which means the norms  $\|\cdot\|$  and  $\|\cdot\|_{\varphi}$  are equivalent.

(a)  $\implies$  (c)  $\implies$  (b): In fact,  $\|A^{\frac{1}{2}}Tx\|^2 = \langle A^{\frac{1}{2}}Tx; A^{\frac{1}{2}}Tx \rangle = \langle T^*ATx; x \rangle = \langle Ax; x \rangle = \|A^{\frac{1}{2}}x\|^2$  for every  $x \in \mathcal{X}$  since  $T^*AT = A$ . Thus if (a) holds, then  $\|A^{\frac{1}{2}}TA^{-\frac{1}{2}}x\| = \|x\|$  for every  $x \in \mathcal{X}$ , and so  $T$  is similar to an isometry (i.e., (c) holds). Conversely if (c) holds, then there is an operator  $S \in \mathcal{B}[\mathcal{X}]$  for which  $S^{-1}T^nS$  is an isometry for every  $n \geq 0$  so that the sequence  $\{\|S^{-1}T^nSx\|^2\}$  is constantly equal to  $\|x\|^2$ , and hence  $\|x\|_{\varphi}^2 \leq \beta^2\|x\|^2 = \beta^2\varphi(\{\|S^{-1}T^nSx\|^2\}) \leq \beta^2\|S^{-1}\|^2\varphi(\{\|T^nSx\|^2\}) = \beta^2\|S^{-1}\|^2\|Sx\|_{\varphi}^2 \leq \beta^2\|S^{-1}\|^2\|S\|^2\|x\|_{\varphi}^2$  for every  $x \in \mathcal{X}$ . Thus (b) holds.

(c)  $\iff$  (d): This is a direct consequence of [15, Theorem 2] which reads as follows. *An operator  $F$  on a normed space  $\mathcal{Z}$  is power bounded and power bounded below (i.e., there are constants  $\gamma, \beta > 0$  such that  $\gamma\|z\| \leq \|F^n z\| \leq \beta\|z\|$  for all integers  $n \geq 1$  and every  $z \in \mathcal{Z}$ ) if and only if  $F$  is similar to an isometry.*

Moreover if (b) holds, then  $\mathcal{X}_{\varphi}$  is a Hilbert space (because  $\mathcal{X}$  is a Hilbert space). Then  $\mathcal{X}_{\varphi} = \widehat{\mathcal{X}} = \widehat{\mathcal{X}}$  and  $T$  on  $\mathcal{X}_{\varphi}$  coincides with  $\widehat{T}$  on  $\widehat{\mathcal{X}}$  and, since  $T$  on  $\mathcal{X}_{\varphi}$  is weakly  $l$ -sequentially supercyclic whenever  $T$  on  $\mathcal{X}$  is,  $T$  on  $\mathcal{X}_{\varphi}$  is unitary according to Theorem 3.2. Thus  $T$  on  $\mathcal{X}_{\varphi}$  is surjective, and so is  $T$  on  $\mathcal{X}$ . Thus since  $T$  is surjective and similar to an isometry by (c), then the isometry is surjective which means it is unitary. This shows that any of the above equivalent assertions imply (e).  $\square$

**Corollary 4.3.** *Every weakly  $l$ -sequentially supercyclic operator similar to an isometry is similar to a unitary operator.*

*Proof.* This holds for Hilbert-space operators according to Corollary 4.2(c,e) since similarity to an isometry trivially implies power boundedness of class  $C_1$ .  $\square$

**Remark 4.1.** As we saw in Corollary 4.2(c,d) (cf. [15, Theorem 2]),

*if an operator on a Hilbert space is power bounded and power bounded below, then it is similar to an isometry.*

Moreover, according to Corollary 4.2(c,e),

*if a weakly  $l$ -sequentially supercyclic operator on a Hilbert space is power bounded and power bounded below, then it is similar to a unitary operator.*

Indeed, if  $T$  is power bounded below, then it is of class  $C_1$ . (i.e.,  $\gamma\|x\| \leq \|T^n x\|$  implies  $T^n x \not\rightarrow 0$ ) and the converse fails (see, e.g., [16, p.69]). Does the converse holds under weak  $l$ -sequential supercyclicity assumption? This suggests the following stronger form of question (F) (see Section 1) in the sense that an affirmative answer to question (F') implies an affirmative answer to question (F):

(F') *is a weakly  $l$ -sequentially supercyclic power bounded operator of class  $C_1$ . power bounded below?*

## References

- [1] S.I. Ansari and P.S. Bourdon, *Some properties of cyclic operators*, Acta Sci. Math. (Szeged) **63** (1997), 195–207.
- [2] S. Banach, *Theory of Linear Operations*, North-Holland, Amsterdam, 1987.
- [3] F. Bayart and E. Matheron, *Hyponormal operators, weighted shifts and weak forms of supercyclicity*, Proc. Edinb. Math. Soc. **49** (2006), 1–15.
- [4] F. Bayart and E. Matheron, *Dynamics of Linear Operators*, Cambridge University Press, Cambridge, 2009.
- [5] J. Bes, K.C. Chan, and R. Sanders, *Every weakly sequentially hypercyclic shift is norm hypercyclic*. Math. Proc. R. Ir. Acad. **105A** (2005), 79–85.
- [6] P.S. Bourdon, *Orbits of hyponormal operators*, Michigan Math. J. **44** (1997), 345–353.
- [7] J.B. Conway, *A Course in Functional Analysis*, 2nd edn. Springer, New York, 1990.
- [8] B.P. Duggal, *Weak supercyclicity: dynamics of paranormal operators*, Rend. Circ. Mat. Palermo **65** (2016), 297–306.
- [9] B.P. Duggal, C.S. Kubrusly, and I.H. Kim, *Bishop’s property ( $\beta$ ), a commutativity theorem and the dynamics of class  $\mathcal{A}(s, t)$  operators*. J. Math. Anal. Appl. **427** (2015), 107–113.
- [10] D.A. Herrero, *Limits of hypercyclic and supercyclic operators*, J. Funct. Anal. **99** (1995), 179–190.
- [11] L. Kérchy, *Invariant subspaces of  $C_1$ -contractions with non-reductive unitary extensions*, Bull. London Math. Soc. **19** (1987), 161–166.
- [12] L. Kérchy, *Isometric asymptotes of power bounded operators*, Indiana Univ. Math. J. **38** (1989), 173–188.
- [13] L. Kérchy, *Unitary asymptotes of Hilbert space operators*, Functional Analysis and Operator Theory, Banach Center Publ. Vol. 30, Polish Acad. Sci., Warsaw, 1994, 191–201.
- [14] L. Kérchy, *Operators with regular norm-sequences*, Acta Sci. Math. (Szeged) **63** (1997), 571–605.
- [15] D. Koehler and P. Rosenthal, *On isometries of normed linear spaces*, Studia Math. **36** (1970), 213–216.
- [16] C.S. Kubrusly, *An Introduction to Models and Decompositions in Operator Theory*, Birkhäuser, Boston, 1997.
- [17] C.S. Kubrusly, *Invariant subspaces for a class of  $C_1$ -contraction*, Adv. Math. Sci. Appl. **9** (1999), 129–133.
- [18] C.S. Kubrusly, *The Elements of Operator Theory*, Birkhäuser-Springer, New York, 2011.
- [19] C.S. Kubrusly, *Singular-continuous unitaries and weak dynamics*, Math. Proc. R. Ir. Acad. **116A** (2016), 45–56.
- [20] C.S. Kubrusly, *Denseness of sets of supercyclic vectors*, Math. Proc. R. Ir. Acad. **120A** (2020) 7–18.

- [21] C.S. Kubrusly and B.P. Duggal, *On weak supercyclicity I*, Math. Proc. R. Ir. Acad. **118A** (2018), 47–63.
- [22] C.S. Kubrusly and B.P. Duggal, *On weak supercyclicity II*, Czechoslovak Math. J. **68(143)** (2018), 371–386.
- [23] C.S. Kubrusly and B.P. Duggal, *Asymptotic limits, Banach limits, and Cesàro means*, Adv. Math. Sci. Appl. **28** (2020), 523–530.
- [24] R. Megginson, *An Introduction to Banach Space Theory*, Springer, New York, 1998.
- [25] A. Montes-Rodríguez and S.A. Shkarin, *Non-weakly supercyclic operators*, J. Operator Theory **58** (2007), 39–62.
- [26] A. Peris, *Multi-hypercyclic operators are hypercyclic*, Math. Z. **236** (2001) 779–786.
- [27] R. Sanders, *Weakly supercyclic operators*, J. Math. Anal. Appl. **292** (2004), 148–159.
- [28] R. Sanders, *An isometric bilateral shift that is weakly supercyclic*, Integral Equations Operator Theory **53** (2005), 547–552.
- [29] S. Shkarin, *Non-sequential weak supercyclicity and hypercyclicity*, J. Funct. Anal. **242** (2007), 37–77.
- [30] M.H. Stone, *Linear Transformations in Hilbert Space*, Colloquium Publications Vol. 15, Amer. Math. Soc., Providence, 1932.
- [31] B. Sz.-Nagy, *On uniformly bounded linear transformations in Hilbert space*, Acta Sci. Math. (Szeged) **11** (1947) 152–157.